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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed : September 20, 2001

For : SERVERS FOR WEB ENABLED SPEECH
RECOGNITION

Docket No.: M61.12-0391

Group Art Unit:2162

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

24 DAY OF January 2002


PATENT ATTORNEY

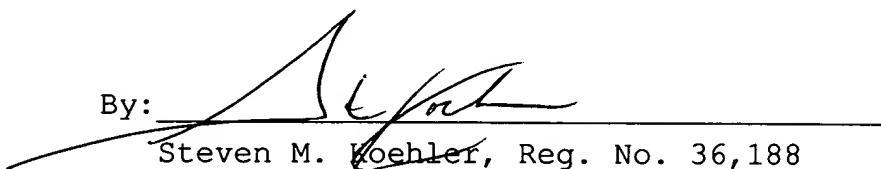
Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated October 24, 2001, Applicant encloses the following documents to complete the above-identified patent application filed September 20, 2001:

1. PTO-2038 in the amount of \$980.00 (to cover the filing fee \$740.00, surcharge fee of \$130.00 and extension of time fee of \$110.00).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Letter to Official Draftsperson.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:jmb

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/960,229 | 09/20/2001 | Kuansan Wang | M61.12-0391 |

OCT 29 2001

CONFIRMATION NO. 5871

FORMALITIES LETTER



OC000000006963036

WESTMAN, CHAMPLIN & KELLY
A PROFESSIONAL ASSOCIATION
INTERNATIONAL CENTRE, SUITE 1600
900 SECOND AVENUE SOUTH
MINNEAPOLIS, MN 55402-3319

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Microsoft

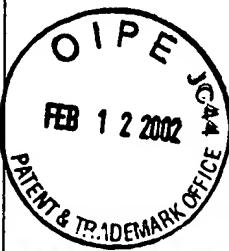
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176

FEE TRANSMITTAL



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Total Amount of Payment \$ 980

| Complete If Known | |
|----------------------|--|
| Application No. | 09/960,229 |
| Filing Date | 9/20/01 |
| First Named Inventor | Kuansan Wang |
| Title | SERVERS FOR WEB ENABLED SPEECH RECOGNITION |
| Group Art Unit | 2162 |
| Examiner Name | |

Atty. Docket Number M61.12-0391

METHOD OF PAYMENT (Check One)

1. The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champin & Kelly, P.A.

2. PTO-2038 Enclosed

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee Paid | | |
|---------------------------|------------------|-----------------|----------|--|-----|
| Code | (\$) | Code | (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - Late provisional Filing Fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For Filing a Request for Reexamination. (ex parte) | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 110 |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 280 | 980 | Extension for reply within fifth month | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | |
| 140 | 110 | 240 | 55 | Petition to Revive - unavoidable | |
| 141 | 1,310 | 241 | 670 | Petition to Revive - unintentional | |
| 142 | 1,310 | 242 | 670 | Utility/Reissue issue fee (inc. advance copies) | |
| 143 | 490 | 243 | 260 | Design issue fee (inc. advance copies) | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Other Fee (specify) _____ | | | | | |
| Subtotal (2) \$ 0 | | | | | |
| Subtotal (3) \$240 | | | | | |

Signature

(Steven M. Koehler)

Reg. No. 36,188

Date 1/24/02

Deposit Account No. 23-1123

O I P E
FEB 12 2002
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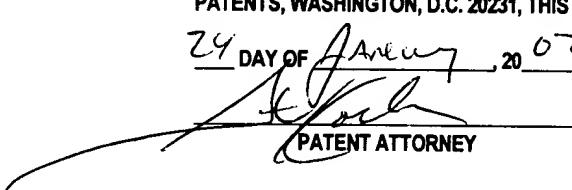
Group Art Unit:2162

**LETTER TO OFFICIAL DRAFTSMAN
(PROPOSED CORRECTIONS)**

Commissioner for Patents
Washington, D.C. 20231

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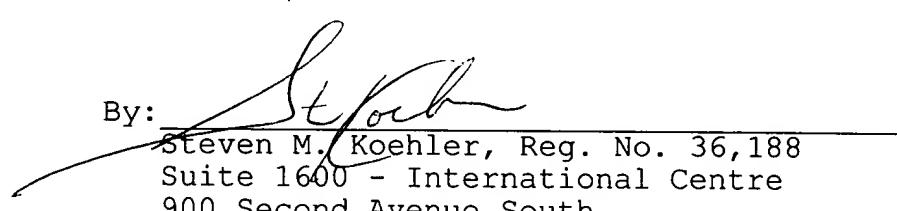

PATENT ATTORNEY

Sir:

Applicant respectfully requests approval of substitute drawings submitted with the accompanying Response to Notice to File Missing Parts.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

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